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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: January 11, 2007 Name: Terry Wand

Signature:

*Terry Wand*

BRINKS  
HOFFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Paul F.L. Weindorf

Appln. No.: 09/939,267

Filed: August 23, 2001

For: SERIES LED BACKLIGHT CONTROL CIRCUIT

Attorney Docket No: 10541-466

Examiner: Leonid Shapiro

Art Unit: 2673

MS Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Third Supplemental Information Disclosure Statement; One Sheet of Form PTO-1449 and 5 required references.
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 44=			x \$88=	
First Presentation of Multiple Dep. Claim					+ \$150=			+ \$300=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 06-1500 (Visteon Global Technologies, Inc.) in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 06-1500.

Respectfully submitted,

*Robert K. Fergan*  
Robert K. Fergan (Reg. No. 51,674)

Date

1/11/07



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Paul F.L. Weindorf  
Appln. No.: 09/939,267  
Filed: August 23, 2001  
For: SERIES LED BACKLIGHT  
CONTROL CIRCUIT  
Attorney Docket No: 10541-466

Examiner: Leonid Shapiro  
Art Unit: 2673

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicant hereby cites the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
5,783,909	07/21/1998	Hochstein
6,161,910	12/19/2000	Reisenauer, et al.
DE 196 02 891A1	08/07/1997	Strobel, et al.
DE 199 30 174 A1	01/04/2001	Biebl, et al.
DE 198 41 490A1	03/23/2000	Graf, et al.
DE 198 43 330A1	03/30/2000	Fleischmann
WO 99/39319	08/05/1999	Filipovsky, et al.

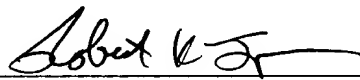
For the Examiner's convenience, Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant hereby certifies that no item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application more than three months prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference). Accordingly, Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

1/11/07  
Date

  
Robert K. Fergan (Reg. No. 51,674)



FORM PTO-1449	APPLICATION NO. 09/939,267	ATTORNEY DOCKET NO. 10541-466
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE August 23, 2001	GROUP ART UNIT 2673
(use several sheets if necessary)	FIRST NAMED INVENTOR: Weindorf	
	EXAMINER NAME: Leonid Shapiro	

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where, Relevant Passages or Relevant Figures Appear
	A1	5,783,909	07/21/1998	Hochstein	
	A2	6,161,910	12/19/2000	Reisenauer, et al.	
	A3				
	A4				
	A5				
	A6				
	A7				
	A8				
	A9				
	A10				
	A11				
	A12				
	A13				

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where, Relevant Passages or Relevant Figures Appear	T
	A14	DE 196 02 891A1	08/07/1997	Strobel, et al.		X
	A15	DE 199 30 174 A1	01/04/2001	Biebl, et al.		X
	A16	DE 198 41 490A1	03/23/2000	Graf, et al.		X
	A17	DE 198 43 330A1	03/30/2000	Fleischmann		X
	A18	WO 99/39319	08/05/1999	Filipovsky, et al.		

EXAMINER INITIAL	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					T
	A19					
	A20					
	A21					
	A22					
	A23					
	A24					

NOTE: For "T" – please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.